TRAN	NSMITTA	Docket No. 693-11-PA								
In Re Application Of: Jeremy Paul Smith et al.										
Applica	ation No.	Filing Date	Examiner ATENT & TR	stomer No.	Group Art Unit	Confirmation No.				
10/609,045		June 27, 2003	Steven Ho Yin Loke	22145	2811	8479				
Title: OVERVOLTAGE PROTECTION										
Address to:  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450										
			37 CFR 1.97(b)							
1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.										
			37 CFR 1.97(c)							
2.   The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:										
	★ the	statement specified i	in 37 CFR 1.97(e);							
			OR							
	☐ the	fee set forth in 37 Cf	FR 1.17(p).							
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) PE						Docket No. 693-11-PA			
In Re Application: Jeremy Paul Smith et al.									
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10/609,045					2811	8479			
Title: OVERVOLTAGE PROTECTION									
Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))									
<ul> <li>□ A check in the amount of is attached.</li> <li>☑ The Director is hereby authorized to charge and credit Deposit Account No. 11-1159 as described below.</li> <li>□ Charge the amount of</li> <li>☑ Credit any overpayment.</li> <li>☑ Charge any additional fee required.</li> </ul>									
<ul> <li>□ Payment by credit card. Form PTO-2038 is attached.</li> <li>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> <li>Certificate of Transmission by Facsimile*</li> </ul> Certificate of Mailing by First Class Mail									
I certify that thi account is bei Patent and Tra	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-14: 9/29/2004								
	Signature				Signature of Person Mailing Correspondence				
		Carrie Anne Cheung							
Typed or Printed Name of Person Signing Certificate  Typed or Printed Name of Person Mailing Certificate					Certificate				
*This certificate may only be used if paying by deposit account.  Dated: September 29, 2004  Signature  Howard J/Klein (Reg. No.: 28,727)									
Klein, O'Neill & S	ingh, LLP (Customer	No.: 22145)							
2 Park Plaza, Suit									
Irvine, California Tel: (949) 955-192									
Fax: (949) 955-192									
Email: hjklein@k									
cc:									

## STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. INFORMATION DISCLOSURE STATEMENT 693-11-PA In Re Application Of: Jeremy Paul Smith et al. OCT 0 4 2004 **Group Art Unit** Confirmation No. ustomer No. Examiner Filing Date Application No. 8479 Steven Ho Yin LORERA 22145 2811 June 27, 2003 10/609,045 Invention: OVERVOLTAGE PROTECTION COMMISSIONER FOR PATENTS: This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application. Check applicable statement herebelow: Statement Under 37 CFR 1.97(e)(1) ☑ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. Statement Under 37 CFR 1.97(e)(2) ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. Dated: September 29, 2004 Howard J. Klein (Reg. No.: 28,727) Certificate of Mailing by First Class Mail Klein, O'Neill & Singh, LLP (Customer No.: 22145) I certify that this correspondence is being deposited with 2 Park Plaza, Suite 510 the United States Postal Service with sufficient postage as Irvine, California 92614 first class mail in an envelope addressed to "Commissioner Tel: (949) 955-1920 for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" Fax: (949) 955-1921 [37 CFR 1.8(a)] on 9/29/2004 Email: hjklein@koslaw.com (Date)

CC:

Signature of Person Mailing Correspondence

Carrie Anne Cheung

Typed or Printed Name of Person Mailing Correspondence

				Docket Number (Optional) 693-11-PA		Application Number 10/609,045			
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	•	<u></u>	U.S	3. PATENT	DOCUMENTS				
*EXAMINER INITIAL	TENT E	TRADE DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING IF APPRO	
		5,401,984	03-28-1995	Byatt, S	Stephen W. et al.				
		5,905,282	05-18-1999	Inoue, I	Mitsuru et al.				
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